

Kantorovich metric  
in  
noncommutative geometry

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## Introduction: noncommutative geometry in a nutshell

Any commutative  $C^*$ -algebra is isomorphic to an algebra of continuous functions vanishing at infinity on some topological space  $\mathcal{P}(\mathcal{A})$ ,

Gelfand duality

$$\mathcal{A} \simeq C_0(\mathcal{P}(\mathcal{A})), \quad \mathcal{P}(C_0(\mathcal{X})) \simeq \mathcal{X}.$$

$\mathcal{P}(\mathcal{A})$  is the set of pure states of  $\mathcal{A}$ , i.e. the extremal points of the set  $\mathcal{S}(\mathcal{A})$  of normalized ( $\mathbb{1} \rightarrow 1$ ), positive ( $a^*a \rightarrow \mathbb{R}^+$ ) linear maps  $\mathcal{A} \rightarrow \mathbb{C}$ :

$$\mathcal{P}(C_0(\mathcal{X})) \ni \delta_x : x \rightarrow f(x) \quad \mathcal{S}(C_0(\mathcal{X})) \ni \varphi : f \rightarrow \int_{\mathcal{X}} f d\mu$$

Connes' theory of spectral triples  $(\mathcal{A}, \mathcal{H}, D)$  extends Gelfand duality beyond topology, so that to encompass differential, homological, metric (spin) aspects,

$$\begin{array}{ccc} \text{commutative spectral triple} & \rightarrow & \text{noncommutative spectral triple} \\ \updownarrow & & \downarrow \\ \text{Riemannian geometry} & & \text{non-commutative geometry} \end{array}$$

- ▶ Geometry without points, but the latter are retrieved as pure states of  $\mathcal{A}$ .
- ▶ How does one retrieve the Riemannian distance on  $\mathcal{P}(C_0(\mathcal{M})) \simeq \mathcal{M}$  a Riemannian manifold, and extend it to  $\mathcal{P}(\mathcal{A})$  for noncommutative  $\mathcal{A}$ ?

## Outline:

### I. The metric aspect of noncommutative geometry

- spectral distance in noncommutative geometry
- Monge-Kantorovich distance in optimal transport theory:  $\mathcal{A} = C_0(\mathcal{M})$

### II. Towards a theory of optimal transport in noncommutative geometry?

- spectral distance on pure states as a cost function
- product of the continuum by the discrete:  $\mathcal{A} = C_0(\mathcal{M}) \otimes \mathbb{C}^2$

### III. Metric aspect of the Moyal plane: $\mathcal{A} = \mathbb{K}$

## I. The metric aspect of noncommutative geometry

### Spectral triple

An involutive algebra  $\mathcal{A}$ , a faithful representation on  $\mathcal{H}$ , an operator  $D$  on  $\mathcal{H}$  such that  $[D, a]$  is bounded and  $a[D - \lambda\mathbb{I}]^{-1}$  is compact for any  $a \in \mathcal{A}$  and  $\lambda \notin \text{Sp } D$ .

When a set of conditions (dimension, regularity, finitude, first order, orientability) is satisfied, then

### Theorem

Connes 1996-2008

$\mathcal{M}$  a compact Riemann manifold, then  $(C^\infty(\mathcal{M}), \Omega^\bullet(\mathcal{M}), d + d^\dagger)$  is a spectral triple.

When  $(\mathcal{A}, \mathcal{H}, D)$  is a spectral triple with  $\mathcal{A}$  unital commutative, then there exists a compact Riemannian manifold  $\mathcal{M}$  such that  $\mathcal{A} = C^\infty(\mathcal{M})$ .

Whatever  $\mathcal{A}$ , commutative or not, one defines on its state space  $\mathcal{S}(\mathcal{A})$  the **spectral distance** (possibly infinite)

$$d_D(\varphi, \tilde{\varphi}) = \sup_{a \in \mathcal{A}} \{ |\varphi(a) - \tilde{\varphi}(a)| / \|[D, a]\| \leq 1 \}.$$

## Optimal transport

$\mathcal{X}$  a locally compact Polish space,  $c(x, y)$  a positive real function, the “cost”.  
The minimal work  $W$  required to transport the probability measure  $\mu_1$  to  $\mu_2$  is

$$W(\mu_1, \mu_2) \doteq \inf_{\pi} \int_{\mathcal{X} \times \mathcal{X}} c(x, y) \, d\pi$$

where the infimum is over all **transportation plans**, i.e. measures  $\pi$  on  $\mathcal{X} \times \mathcal{X}$  with marginals  $\mu_1, \mu_2$ .

When the cost function  $c$  is a distance  $d$ , then

$$W(\mu_1, \mu_2) \doteq \inf_{\pi} \int_{\mathcal{X} \times \mathcal{X}} d(x, y) \, d\pi$$

is a distance (possibly infinite) on the space of probability measures on  $\mathcal{X}$ , called the **Monge-Kantorovich** or Wasserstein **distance of order 1**.

Let  $\mathcal{X} = \mathcal{M}$  be a complete, connected, without boundary, Riemannian manifold. For any  $\varphi, \tilde{\varphi} \in \mathcal{S}(C_0(\mathcal{M}))$ ,

$$W(\varphi, \tilde{\varphi}) = d_D(\varphi, \tilde{\varphi})$$

where  $W$  is the Monge-Kantorovich distance associated to the cost  $d_{\text{geo}}$ , while  $d_D$  is the spectral distance associated to  $(C_0^\infty(\mathcal{M}), \Omega^\bullet(\mathcal{M}), D = d + d^\dagger)$ .

i. Kantorovich duality:

$$W(\varphi, \tilde{\varphi}) = \sup_{\|f\|_{\text{Lip}} \leq 1} \left( \int_{\mathcal{X}} f d\mu - \int_{\mathcal{X}} f d\tilde{\mu} \right)$$

with supremum on all real 1-Lipschitz  $f \in C(\mathcal{X})$ :  $|f(x) - f(y)| \leq d_{\text{geo}}(x, y)$ .

ii. For  $f = f^*$ ,  $\|[d + d^\dagger, f]^2\| = \|[d, f]\|^2 = \frac{1}{2} \|[ [\Delta, f], f ]\| = \|f\|_{\text{Lip}}^2$ .

iii. Any 1-Lip.  $f$  non-vanishing at infinity can be approximated by the 1-Lip.

$$f_n(x) \doteq f(x)e^{-d_{\text{geo}}(x_0, x)/n} \in C_0(\mathcal{M});$$

and any  $f_n$  is the uniform limit of a sequence of smooth 1-Lip. functions.

## II. Towards a theory of optimal transport in noncommutative geometry ?

$d_D$  commutative case  $\rightarrow$   $d_D$  noncommutative case

$\uparrow$

Kantorovich duality

|

Kantorovich duality ?

$\downarrow$

$W$  with  $d_D(\delta_x, \delta_y)$  as a cost function

$\downarrow$

$W_D$  for some noncommutative cost ?

$\searrow$

Wasserstein metric for free probability,  
*Biane, Voiculescu.*

Let  $\mathcal{A}$  be a separable  $C^*$ -algebra with unit and  $\varphi \in \mathcal{S}(\mathcal{A})$ . There exists a (non-necessarily unique) probability measure  $\mu \in \text{Prob}(\mathcal{P}(\mathcal{A}))$  such that

$$\varphi(a) = \int_{\mathcal{P}(\mathcal{A})} \hat{a}(\omega) d\mu(\omega) \quad \text{where} \quad \hat{a}(\omega) \doteq \omega(a).$$

Optimal transport on  $\mathcal{P}(\mathcal{A})$ , with cost  $d_D$ , yields a distance  $W_D$  on  $\mathcal{S}(\mathcal{A})$ , whose dual formulation is

$$W_D(\varphi, \tilde{\varphi}) \doteq \sup_{a \in \text{Lip}_D(\mathcal{A})} \left\{ \left| \int_{\mathcal{P}(\mathcal{A})} \hat{a}(\omega) d\mu(\omega) - \int_{\mathcal{P}(\mathcal{A})} \hat{a}(\omega) d\tilde{\mu}(\omega) \right| \right\}.$$

where

$$\text{Lip}_D(\mathcal{A}) \doteq \{a \in \mathcal{A} \text{ such that } |\omega_1(a) - \omega_2(a)| \leq d_D(\omega_1, \omega_2) \forall \omega_1, \omega_2 \in \mathcal{P}(\mathcal{A})\}.$$

## Proposition

P.M. 2011

For any  $\varphi, \tilde{\varphi} \in \mathcal{S}(\mathcal{A})$ ,  $d_D(\varphi, \tilde{\varphi}) \leq W_D(\varphi, \tilde{\varphi})$ .

- ▶ Obvious because  $\{a \in \mathcal{A}, \|D, a\| \leq 1\} \subset \text{Lip}_D(\mathcal{A})$ .
- ▶ If  $d_D = W_D$ , noncommutative geometry could be seen as a “provider” of cost functions.



## A two-point space:

$$\mathcal{A} = \mathbb{C}^2, \quad \mathcal{H} = \mathbb{C}^2, \quad D = \begin{pmatrix} 0 & m \\ \bar{m} & 0 \end{pmatrix}$$

where  $m \in \mathbb{C}$  and representation

$$\pi(z_1, z_2) = \begin{pmatrix} z_1 & 0 \\ 0 & z_2 \end{pmatrix}.$$

This is a two-point space

$$\delta_1(z_1, z_2) \doteq z_1, \quad \delta_2(z_1, z_2) \doteq z_2$$

with distance

$$d_D(\delta_1, \delta_2) = \frac{1}{|m|}.$$

- ▶ Discrete space (i.e. no geodesic) but finite distance.
- ▶ For non pure states,  $d_D = W_D$  since

$$\text{Lip}_D(\mathbb{C}^2) = \left\{ a \in \mathbb{C}^2, |z_1 - z_2| \leq \frac{1}{|m|} \right\} = \{ a \in \mathbb{C}^2, \|[D, a]\| \leq 1 \}.$$

## Product of the continuum by the discrete

Product of a manifold  $\mathcal{M}$  by  $(\mathbb{C}^2, \mathbb{C}^2, D_I = \begin{pmatrix} 0 & m \\ \bar{m} & 0 \end{pmatrix})$ , namely

$$\mathcal{A}' = C_0^\infty(\mathcal{M}) \otimes \mathbb{C}^2, \quad \mathcal{H}' = \Omega^\bullet(\mathcal{M}) \otimes \mathbb{C}^2, \quad D' = (d + d^\dagger) \otimes \mathbb{I}_2 + \Gamma \otimes D_I.$$

### Proposition

P.M., Wulkenhaar 2001

The spectral distance  $d_{D'}$  between pure states of  $\overline{\mathcal{A}'} = C_0(\mathcal{M}) \otimes \mathbb{C}^2$ ,

$$\mathcal{P}(\overline{\mathcal{A}'}) \simeq \mathcal{M} \cup \mathcal{M} = \{x_i \doteq (x, \delta_i), x \in \mathcal{M}, \delta_i \in \mathcal{P}(\mathbb{C}^2)\},$$

coincides with the geodesic distance in  $\mathcal{M}' = \mathcal{M} \times [0, 1]$  with Riemannian metric

$$\begin{pmatrix} g_{\mu\nu} & 0 \\ 0 & \frac{1}{|m|} \end{pmatrix}.$$

- Possible to make  $m$  a function on  $\mathcal{M}$ : Higgs field in the standard model of elementary particles.

$\mathcal{S}(\overline{\mathcal{A}'})$  is the set of couples of measures  $(\mu, \nu)$  on  $\mathcal{M}$ , normalized to

$$\int_{\mathcal{M}} d\mu + \int_{\mathcal{M}} d\nu = 1,$$

whose evaluation on  $\mathcal{A}' \ni a = (f, g)$ , with  $f, g \in C_0^\infty(\mathcal{M})$ , is

$$\varphi(a) = \int_{\mathcal{M}} f d\mu + \int_{\mathcal{M}} g d\nu.$$

► As before,  $d_{D'}(\varphi, \tilde{\varphi}) \leq W_{D'}(\varphi, \tilde{\varphi})$  where  $W_{D'}$  is the Kantorovich distance on  $\mathcal{M} \cup \mathcal{M}$  associated to the cost  $d_{D'}$ .

► Equality holds -  $d_{D'} = d_D = W_D$  - for states localized on the same copy:

$$\varphi = (0, \nu), \tilde{\varphi} = (0, \tilde{\nu}) \quad \text{or} \quad \varphi = (\mu, 0), \tilde{\varphi} = (\tilde{\mu}, 0).$$

► For two states localized on distinct copies, one may project back the problem on a single copy, using a cost function defined solely on  $\mathcal{M}$ ,

$$c(x, y) \doteq d_{D'}(x_1, y_2) \doteq \sqrt{d(x, y)^2 + \frac{1}{|m|^2}}.$$

The Higgs field would then represent the cost to stay at the same point of space-time, but jumping from one copy to the other:  $c(x, x) = \frac{1}{|m|} \neq 0$ .

### III. Noncommutative geometry of the Moyal plane

$$\mathcal{A} = (\mathcal{S}(\mathbb{R}^2), \star), \quad \mathcal{H} = L^2(\mathbb{R}^2) \otimes \mathbb{C}^2, \quad D = -i \sum_{\mu=1}^2 \sigma^\mu \partial_\mu$$

where

$$(f \star g)(x) = \frac{1}{(\pi\theta)^2} \int d^2s d^2t f(x+s)g(x+t)e^{-i2s\Theta^{-1}t}$$

with

$$s\Theta^{-1}t \equiv s^\mu \Theta_{\mu\nu}^{-1} t^\nu \quad \text{with } \Theta_{\mu\nu} = \theta \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}, \quad \theta \in \mathbb{R}^{+*},$$

and

$$D = -i\sqrt{2} \begin{pmatrix} 0 & \bar{\partial} \\ \partial & 0 \end{pmatrix} \quad \text{with} \quad \partial = \frac{1}{\sqrt{2}}(\partial_1 - i\partial_2), \quad \bar{\partial} = \frac{1}{\sqrt{2}}(\partial_1 + i\partial_2).$$

The Moyal algebra  $\mathcal{A}$  acts on  $\mathcal{H}$  as  $\pi(f)\psi = \begin{pmatrix} f \star \psi_1 \\ f \star \psi_2 \end{pmatrix}$ .

## Schrödinger representation

The evaluation at  $x$  is not a state of  $\bar{\mathcal{A}} = \mathbb{K}$  for  $(f^* \star f)(x)$  may not be positive. The pure states of  $\bar{\mathcal{A}} = \mathbb{K}$  - i.e. our quantum points - are the vector states in an **irreducible** representation.

The **left regular representation**  $\mathcal{L}$  is not irreducible, it is a multiple of the **Schrödinger representation**  $\pi_S$ . Intertwiner:

$$W : h_{mn} \rightarrow h_m \otimes h_n \quad m, n \in \mathbb{N},$$

where the  $h_{mn}$ 's are Wigner transition functions (orthonormal basis of  $L^2(\mathbb{R}^2)$ ),  $h_m$ 's are the **eigenfunctions of the quantum h.o.** (orthonormal basis of  $L^2(\mathbb{R})$ ).

$$W\mathcal{L}(f)W^* = \pi_S(f) \otimes \mathbb{I} \implies \begin{cases} W\mathcal{L}(x_1)W^* = \mathfrak{q} \otimes \mathbb{I} & W\mathcal{L}(z)W^* = \mathfrak{a}^* \otimes \mathbb{I} \\ W\mathcal{L}(x_2)W^* = \mathfrak{p} \otimes \mathbb{I} & W\mathcal{L}(\bar{z})W^* = \mathfrak{a} \otimes \mathbb{I} \end{cases}$$

with  $\mathfrak{q}, \mathfrak{p}, \mathfrak{a}, \mathfrak{a}^*$  the position, momentum, creation and annihilation operators.

- The set of pure states of  $\bar{\mathcal{A}} = \mathbb{K}$  is thus the set of vector states,

$$\omega_\psi(f) \doteq \langle \psi, \pi_S(f)\psi \rangle,$$

where  $\psi = \sum_m \psi_m h_m$  is a unit vector in  $L^2(\mathbb{R})$ .

## Spectral distance on the Moyal plane

Eigenstates of the quantum harmonic oscillator,

$$\omega_m(f) \doteq \langle h_m, \pi_S(f) h_m \rangle,$$

Coherent states, i.e. translations of  $\omega_0$ ,

$$\alpha_\kappa \omega_0(f) \doteq \omega_0 \circ \alpha_\kappa(f)$$

where  $(\alpha_\kappa f)(x) = f(x + \kappa)$  for any  $\kappa \in \mathbb{C} \simeq \mathbb{R}^2$ .

### Theorem

E. Cagnache, F. D'Andrea, P.M., L. Tomassini, J.C. Wallet (2010-11)

1.  $d_D(\varphi, \alpha_\kappa \varphi) = |\kappa|$ .
2. The spectral distance on the Moyal plane takes all possible value in  $[0, \infty]$  (minimal unitization of the Moyal plane is *not* a compact quantum metric space in the sense of Rieffel).
2. The distance between two distinct eigenstates  $\omega_m, \omega_n$  is:

$$d_D(\omega_m, \omega_n) = \sqrt{\frac{\theta}{\sqrt{2}}} \sum_{k=m+1}^n \frac{1}{\sqrt{k}}.$$

## Optimal element

The element in  $\mathcal{A}$  that attains the supremum in the spectral distance formula or a sequence  $a_n \in \mathcal{A}$  such that  $\|[D, a_n]\| \leq 1$  and  $\lim (\tilde{\varphi}(a_n) - \varphi(a_n)) = d_D(\tilde{\varphi}, \varphi)$ .

Between translated states  $\varphi$  and  $\alpha_\kappa \varphi$ , the optimal element is

$$l_\kappa(z) = \frac{ze^{-i\Xi} + \bar{z}e^{i\Xi}}{\sqrt{2}}, \quad \text{with} \quad \Xi \doteq \text{Arg } \kappa,$$

both on the Euclidean and the Moyal planes. One has

$$[\partial, l_\kappa] = -i\sqrt{2} \begin{pmatrix} 0 & \bar{\partial}l_\kappa \\ \partial l_\kappa & 0 \end{pmatrix} = -i \begin{pmatrix} 0 & e^{i\Xi} \\ e^{-i\Xi} & 0 \end{pmatrix}$$

so that

$$[\partial, l_\kappa]^* [\partial, l_\kappa] = \mathbb{I}.$$

The optimal element  $\mathcal{L}(l_0)$  between eigenstates  $\omega_m, \omega_n$  is a solution of

$$[\partial, \mathcal{L}(l_0)] = -i \begin{pmatrix} 0 & S^* \\ S & 0 \end{pmatrix},$$

where  $S$  is the shift operator. One has, with  $e_0$  is the projection on  $h_0$ ,

$$\mathbb{I} - [\partial, \mathcal{L}(l_0)]^* [\partial, \mathcal{L}(l_0)] = e_0$$

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